

The Best Enterprise Risk Management Award

優秀企業風險戰略管理獎

Hong Kong Most Admired

Starting from this year 2015, as the first ever such the Best Enterprise Risk Management (ERM) Awards honor companies, SME, NGO, MNC, and organizations in any industry that demonstrate excellence and achievement in enterprise risk management that leading to ISO and best practice world class standards.

The process for choosing the honorees is systematic and very competitive. Nominations are processed into a well-defined set of procedures and criteria.

Support in all forms, particularly financial sponsorship, highlights our society's management value and efforts in the promotion of excellence in enterprise risk management, contributing to the growth of the economy and society at large.

Why organizations should not miss this Award?

1. Recognition and Publicity

This award provides public recognition for the winners with a strong commitment in the implementation of Enterprise Risk Management in accordance with the best practices and ISO 31000 international standards which covers ISO 9001, ISO 14000, ISO 28000, ISO 27001, and ISO 22300.

2. Performance Evaluation

In the process of preparing for submission, it helps the organization to identify its strengths and areas for improvement in Enterprise Risk Management. Furthermore, the internal analysis and external feedback from the evaluation panel, which consists of the most experienced crisis and risk management experts and corresponding business leaders, can benefit the decision making process improvement of the organization's business activities. Most importantly, it is to enhance organizations management excellence and sustain competitive advantage.

3. Management Commitment

This award can raise the level of management's commitment and engagement in good risk management practices, as well as boost the confidence of the staff through formal recognition for their efforts and achievements.

4. Networking and Presentation

All applicants would be a member of the business innovation group called APC ERM Premier (才俊會) Club and invited to join bi-monthly networking event.

5. Business Innovation Group

All companies can share business operation knowledge from VIP guests and APC advisors through Facebook and LinkedIn closed groups.

Enquiry & Information:

Academy of Professional Certification (APC)*

Phone: (852) 2314 1010

Email: apc@apc.org.hk

Website: <http://www.apc.org.hk>

Facebook <https://www.facebook.com/AcademyOfProfessionalCertification>

*APC is a charitable NGO / Certification Body



Immediate Benefits To Your Organization:

- ✓ Feedback with constructive suggestions by judges;
- ✓ Free annual (3 times half hour) risk management consultation;
- ✓ Display of your company presentation slides on APC website;
- ✓ Sharing of the ERM Award assessment report;
- ✓ Annual APC training and certification 20% discount;
- ✓ Corporate annual Membership of APC;
- ✓ Bi-monthly networking, experience and knowledge sharing at APC ERM Premier Club (才俊會) events



About ISO 31000

ISO 31000:2009 provides principles and generic guidelines on risk management that can be used by any public, private or community enterprise, association, group or individual.

ISO 31000:2009 will be utilized as an umbrella standard to harmonize risk management processes in existing and future standards such as ISO9001, ISO14000, ISO27005, ISO28000 etc. It provides a common consent in risk management sectors but does not replace those standards

ISO 31010:2009 is supporting standard for ISO 31000 and provides guidance on selection and application of systematic techniques for risk assessment.

ISO 31000 is the 6th popular standard of ISO publication in 2015 after ISO 9000 and ISO 14000.

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Application

Requirements

Any organization with an operation in Greater China is eligible to apply. Applicants should complete the application basic information pages and enclose an Enterprise Risk Management (ERM) report (English or Chinese) covering its risk management activities and resulting performance in Greater China.

Application Fee

Upon submitting the application, please settle an application fee of HK\$6,000 by issuing a crossed cheque made payable to "Academy of Professional Certification Limited" and send it by post to:

Award Secretariat,
The Best ERM Award 2015,
Academy of Professional Certification (APC),
8/F., Ford Glory Plaza,
37-39 Wing Hong Street,
Cheung Sha Wan,
Kowloon, Hong Kong.

Assessment Criteria

Judging Criteria and Evaluation Process

A panel of well-known senior business executives, leading enterprise risk management experts, and professional advisors will be formed to assess the winners of The Best ERM Award 2015.

The organization once it has applied will go through two rounds of evaluation. In the first round, the panel will assess the ERM competence level of the organization with reference to the eight enterprise risk performance dimensions. The short-listed organizations will enter the second round of evaluation and be invited to conduct a case presentation to the panel who will then decide on the winners of the Award.

Please see the Eight Criteria: 1 to 8 requirements of the enclosed Guidelines on the Submission.

Campaign Awards:

Gold, Silver, Bronze Awards; Excellent Awards and Merit Awards

Evaluation Panel Judges

Dr. Samson Tam Wai Ho, JP, Member of Legislative Council, 2008-2012
Ms. Alice Lam C. L., Eastern District Councillor, 東區區議員
Mr. Louie Shum Chun Ying, Securities Adviser 沈振盈, 股市分析員評論員
Professor Dr. Joshua Chan, HKU
Professor Dr. Shu Ming NG, HKUST
Professor Dr. Chan Wai Sum, Department of Finance, CUHK
Dr. Adela Lau, HKUST
Mr. Raymond Fok, Head of Administration & Academic Programmes and
Principal Lecturer, Middlesex University Asia Pacific Hub
Ms. Rosetta Kwok Y.S., Arbitration & Mediation Solicitor
Ms. May Tsui, Join Company Secretary
Ms. Daisy Lam, CEO and President of Vision Education Group Limited
Mr. Raymond Mok, General Manager, Shun Hing Electronic Trading Co., Ltd.

Evaluation Panel Committee:

Professor Mak Wai Yin, Director and Accountant
Dr. Freddie Lee, Chairman of ICRM, ISO TC Members
Dr. Danny Ha, Chairman & Founder APC, ISO SC/TC Members, ISO Auditor
Executive Council of Academy of Professional Certification (APC)



Courtesy of Kwintessential.com



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Financial Sponsorship

Bank Transfer

Please make a direct deposit into
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012-586-00094229,
Name: Academy of Professional
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To get a donation receipt for tax
reduction, please mail the original
bank receipt together with your name,
address and telephone number to:-
Award Secretariat,
The Best ERM Award,
Academy of Professional Certification
Limited, 8/F., Ford Glory Plaza, 37-39
Wing Hong Street, Cheung Sha Wan,
Kowloon, Hong Kong.

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Please make a cheque payable to
"Academy of Professional
Certification Limited". Please write
your name, address and telephone
no. at the back of the cheque and mail
it to the above address.

Supporting Organizations



Arts Collection Society (APC Partner)
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OneNet (Accredited by APC)
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Arts Cultural Risk Management School (ACRMS)
Train-the-Trainer School (T3S)
School of Continuing Studies, Macau
University of Science & Technology (SCS/MUST)
Society of Registered Financial Planners Ltd (RFP)
The Institute of Crisis & Risk Management (ICRM)
Vantis Consulting Group (APC Training Partner)
Vision Education Group Limited
World Mediation Organization (WMO)

Enquiry & Information:

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GUIDELINES ON THE SUBMISSION

CONTENT

Hong Kong ERM Award applicants should present their organization's performance by addressing their responses to the Award Criteria in less than 20 pages.

It is important that applicants should study the full version of the Award Criteria below before responding to the below questions. Applicants are also encouraged to supplement their responses by addressing the criteria items.

PREFACE: ORGANIZATIONAL PROFILE

Address this section in not more than 2 pages (including charts, tables, diagrams, if any).

P1. Organizational Description:

- Business nature and main products/services/industry
- Mission, vision and values
- Organizational structure
- Key customer and stakeholder groups

P2. Organizational Challenges:

- Relative size and growth of your company in your markets served Number/types of your competitors
- Your key competitive advantage and challenges

THE EIGHT CRITERIA

Address this section in not more than 18 pages (including charts, tables, diagrams, if any) as a ERM report (English or Chinese)

Requirement

1. Leadership

- What is the corporate culture in risk management?
- Is your CEO mandate a risk management policy?
- Have your risk assessments been updated in the last 6 months?
- Provide training on business needs and drivers?
- Do you have a quality approach to risk and crisis management?

2. Business operations and management analysis

- focus on supplier management of the complete supply chain
- conduct customer feedback surveys, marketing progress and meetings
- define key business processes and sub-process architecture
- use benchmark data wherever possible and compliance of personal data privacy ordinance
- have your business contingency and continuity been tested in the last 6 months?

3. Business planning

- align annual objective to company risk and crisis and business strategy
- apply a consistent process for projecting levels of business performance
- develop key business performance measures
- set objective for all employees with risk and crisis control and ERM responsibilities
- develop communication system with measures of effectiveness

4. Human resources develop and enterprise risk management

- utilize care and recognition as an incentive program
- consider succession planning
- measure the effectiveness of manager training
- consider on the job effectiveness and career development
- appoint a risk and continuity evangelist

(To be continued)



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Important Event Schedule:

Mar – Dec 2015

Application/Nomination Period

1 Dec 2015

Deadline for Application/Nomination

2 Dec 2015

First Round Evaluation

➤ Short-listing of organizations by the Panel to enter the second round

5 Dec 2015

Second Round Evaluation

➤ Selected finalists will be invited to conduct the case presentation to the Panel

23 Dec 2015

Announcement of "The Best ERM Award 2015" Winners

27 Dec 2015

To be announced the

Award Presentation Ceremony

About the Organizer

Academy of Professional Certification Limited (APC) is a non-profit making (NGO), non-religious and non-political basis granted the charitable status under chapter 88 of the Inland Revenue Ordinance (Cap.112) of the Inland Revenue Department of the Hong Kong SAR Government in 2013 which denoted that all donations to our organization are eligible for tax benefits. Our approved charitable organization certificate number is IRD Ref.91/12377. All donations will be used to fund various social and certification projects. For donations to our organization, please contact our Administration via our web site: <http://www.apc.org.hk> or email: apc@apc.org.hk



Courtesy of Kwintessential.com

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GUIDELINES ON THE SUBMISSION (Continued)

THE EIGHT CRITERIA (Continued)

5. Enterprise risk process management

- adopt the principles of enterprise risk process management
- compliance of ISO 31000 and/or ISO 29100
- compliance of information security standards and its controls
- maintain & update the risk register & compliance report in the last 6 months
- implement the risk improvement processes
- document the risk control and process
- ensure there is an effective escalation process to risk control and continuity management from different sources of risk

6. Customer and market focus

- develop more formal contacts with customers, suppliers, partners, and stakeholders
- review service level objective and agreement in conjunction with customers and risk priorities
- focus more on proactive customer relationship management
- formalize the close out of problem solutions
- initiate the management of change and markets focus

7. Business results

- agree key business metrics
- monitor regular business trend information, targets and benchmarks
- use of risk identification tools, business diagnosis root cause analysis situations
- develop checklists and chart to monitor the risk trends and change
- follow up the ER measurement of business and financial performance

8. Financial management

- employ tools of financial risk management for decision making
- use of financial ratios for risk analysis
- follow up the management requirements of key components of working capital to match the changing requirements of operations
- have your financial budget planning been reviewed in the last 6 months?
- use of integrated financial & business risk management model?

Format

- Typed on standard A4-sized paper (please do not use glossy paper single-lined spacing)
- Minimum font size of 10 points
- Can be presented in bullet-point or essay
- Format ring bound for ease of processing
- Numbering on every page and for every item of address
- The submission must be endorsed by the organization's top executive

Please send the Award Secretariat **3 identical copies** of the submission with all enclosures, which are not returnable, to:

Award Secretariat,
The Best ERM Award,
Academy of Professional Certification (APC),
8/F., Ford Glory Plaza,
37-39 Wing Hong Street,
Cheung Sha Wan,
Kowloon, Hong Kong.

Enquiry:

Ms. Chan
Tel: 2314 1010
Email: apc@apc.org.hk

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Support and Co-operation

Bank of China
Shun Hing Electronic Trading Co., Ltd.
OneNet (Training & Consulting Services)
Colour Brown Coffee at Sai Kung
Wan Fung Arts Gallery
Trade Development Council (TDC)
Hong Kong Productivity Council (HKPC)
School of Continuing Studies, Macau
University of Science & Technology
(SCS/MUST)
Hong Kong University of Science & Technology
(HKUST)
RMBI Symposium 2009-2015 of HKUST
Investment Society, The University of Hong
Kong (HKU)
SPACE, The University of Hong Kong (HKU)
New-century Administration & Management
Elite Society of Chinese University of Hong
Kong (CUHK)
Student Union, Hong Kong Polytechnic
University (HKPU)
BBA Business Analysis, City University of Hong
Kong (CityU)
Engineering Doctorate Society of CityU
Management Sciences Society, CityU
Mentees & MISS, Hong Kong Baptist
University (HKBU)
Social Science, Open University of Hong Kong
(OUHK)
Hong Kong Shue Yan University (HKSJU)
Student Union, Hang Sang Management
College (HSMC)
PEAK/ Vocation Training Council (VTC)
Hong Kong Institute of Engineering &
Technology (HKIET)
The Institute of Crisis & Risk Management
Hong Kong Management Association (HKMA)
Professional Risk Managers International
Association (PRMIA)
World Mediation Organization (WMO)
Hong Kong Mediation Accreditation Asso. Ltd
Society of Registered Financial Planners Ltd
(RFP)
Hong Kong Computer Society (HKCS)
Hong Kong Logistics Association (HKLA)
Professional Information Security Association
Hong Kong Council of Social Service (HKCSS)
Caritas Hong Kong
Love Ideas Hong Kong
Nancy Chu Charitable Foundation
Hong Kong Teacher Association
Hong Kong Arts Administrators Association Arts
Collection Society
Ling Ngai Art Association
PRMIA Global Conference
World Mediation Conferences
Vision Education Group Limited
Bank Tech Executive Summit
Logistics and Supply Chain Summit
CIO Executive Summit
InfoSecurity Summit
Gamatech Limited
All organizations of APC Executive Council



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Application Form

Please return this application form together with your application fee by
30 Jun 2015 to Award Secretariat, The Best ERM Award 2015,
Academy of Professional Certification (APC),
8/F., Ford Glory Plaza, 37-39 Wing Hong Street,
Cheung Sha Wan, Kowloon, Hong Kong.

For guidelines on the submission, please refer to section above on the brochure.

1. Name of organization: (English) _____
(Chinese) _____
2. Business address: _____

3. Name of contact person: _____
4. Position: _____
5. Tel (Office): _____ Tel (Direct): _____ Fax: _____
E-mail: _____
Website: _____
6. Total number of years of business operation in Hong Kong _____
7. I enclose a crossed cheque for HK\$6,000 _____
(made payable to "Academy of Professional Certification Limited")
or I made a direct deposit into Bank of China, Current Account
012-586-00094229 Name: Academy of Professional Certification Limited _____

AFFIRMATION

- We declare that all information given is accurate to the best of our knowledge.
- In the event that our company is given an award for the 2015 The Best ERM Award, we agree to attend all functions conducted by the Award Secretariat in relation to the experience sharing of our company's risk management journey. This includes the related seminars, newspapers and interviews conducted by media if available.

Name of Top Executive: _____

Position: _____

Signature (with company stamp)

Date

CONFIDENTIALITY

- All information and documents supplied by Award applicants including entrant identities and information during the review of entries are kept highly confidential and will be used only for the judging of the Award.
- All Judges and Examiners are required to declare in advance to the Award Secretariat any cases or situations which may create any apparent or potential conflict of interest. The Judge or Examiner in question would be barred from reviewing the entries concerned or handling in any manner the materials submitted by the Award entrant involved.

ENQUIRIES

Ms Chan
Tel: 2314 1010
Email: apc@apc.org.hk

Fax: 2314 3900



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